



HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO. 10018398-1

Inventor(s): Kay-Yut CHEN, et al.

Confirmation No.: 3006

Application No.: 09/976,959

Examiner: Tarae, C. M.

Filing Date: 10/11/01

Group Art Unit: 3623

Title: **A SYSTEM AND METHOD FOR FORECASTING UNCERTAIN EVENTS WITH ADJUSTMENTS FOR PARTICIPANT CHARACTERISTICS**

Mail Stop Amendment
Commissioner For Patents
PO Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Transmitted herewith is/are the following in the above-identified application:

- ☒ Response/Amendment
☐ New fee as calculated below
☒ No additional fee
☐ Other
- ☐ Petition to extend time to respond
☐ Supplemental Declaration
- Fee\$ _____

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	20	MINUS	20	= 0	X \$50	\$ 0
INDEP. CLAIMS	3	MINUS	3	= 0	X \$200	\$ 0
<input type="checkbox"/> FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$360	\$ 0
EXTENSION FEE	<input type="checkbox"/> 1st Month \$120	<input type="checkbox"/> 2nd Month \$450	<input type="checkbox"/> 3rd Month \$1020	<input type="checkbox"/> 4th Month \$1590		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date of Deposit: 10/09/06

Typed Name: Desiree Reardon

Signature:

Respectfully submitted,

Kay-Yut CHEN, et al.

By

John P. Wagner, Jr.

Attorney/Agent for Applicant(s)

Reg No. : 35,398

Date : 10/09/06

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CHEN, et al.

Serial No.: 09/976,959

Filed: October 11, 2001

For: A SYSTEM AND METHOD FOR
FORECASTING UNCERTAIN
EVENTS WITH ADJUSTMENTS
FOR PARTICIPANT
CHARACTERISTICS

Examiner: Tarae, Catherine Michelle

Art Unit: 3623

Conf. No.: 3006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE

Dear Sir:

In response to the Office Action mailed July 11, 2006, the following amendments and remarks to the above captioned patent application are respectfully submitted.